



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Debra D. Wawro et al.

Serial No.: 09/707,435

Filed: November 6, 2000

For: RESONANT WAVEGUIDE-GRATING
FILTERS AND SENSORS AND
METHODS FOR MAKING AND USING
SAME

Group Art Unit: 2882

Examiner: Chih-Cheng G. Kao

Atty. Dkt. No.: UTSL:058US

08/31/2004 YPOLITE1 00000029 501212 09707435

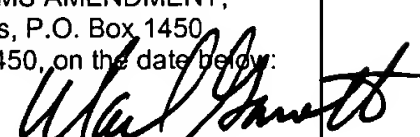
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CERTIFICATE OF MAILING
37 C.F.R. 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

August 27, 2004

Date


Mark T. Garrett

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The Commissioner is authorized to deduct the appropriate fee for this filing from Fulbright & Jaworski Account No.: 50-1212/UTSL:058US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: August 27, 2004

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

UTSL:058US

Serial No.

09/707,435

Applicant

Debra D. Wawro *et al.*

Filing Date:

November 6, 2000

Group:

2882

U.S. Patent Documents

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Foreign Patent Documents

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Other Art

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C59	Avrutsky and Sychugov, "Reflection of a beam of finite size from a corrugated waveguide," <i>Journal of Modern Optics</i> , 36(11):1527-1539, 1989.
	C60	Magnusson and Wang, "Characteristics of waveguide-grating filters: Plane wave and Gaussian beam illumination," <i>Conference Proceedings of the IEEE Lasers and Electro-Optics Society Annual Meeting</i> , 157-158, San Jose, California, November 15-18, 1993.
	C61	Magnusson and Wang, "Optical waveguide-grating filters," <i>Proceedings of the SPIE: International Conference on Holography, Correlation Optics, and Recording Materials</i> , 2108:380-391, Chernovtsy, Ukraine, May 10-14, 1993.
	C62	Magnusson <i>et al.</i> , "Diffraction of Gaussian laser beams by waveguide gratings," Masters thesis, July 18, 2000.
	C63	Saarinén <i>et al.</i> , "Guided-mode resonance filters of finite aperture," <i>Optical Engineering</i> , 34(9):2560-2566, 1995.
	C64	Tibuleac <i>et al.</i> , "Experimental verification of waveguide-mode resonant transmission filters," <i>IEEE Microwave and Guided Wave Letters</i> , 9(1):19-21, 1999.

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EXAMINER:

DATE CONSIDERED:

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